

Terms of Reference for External Monitoring (Social)

Introduction:

The Social Safeguard Specialist will conduct periodic monitoring of the implementation of Resettlement Activities to ensure quality operations and effective implementation of the RP.

Objectives:

The major objectives of the monitoring would be to:

- Assess the overall approach of resettlement activities along the Right of Way/within the alignment of the project;
- Verify the result of internal monitoring;
- Assess whether resettlement objectives have been met; especially, whether livelihoods and living standards have been restored or enhanced;
- Assess resettlement efficiency, effectiveness, impact and sustainability drawing best practices and lessons as a guide to future resettlement policy making and planning; and
- Ascertain, whether, the resettlement entitlements were appropriate in meeting the objectives, and whether the objectives were suited to AP's conditions.

Specific Tasks:

The Social Safeguard Specialist will carry out periodic review and assessment of resettlement implementation, verification of the results of internal monitoring in the field to assess achievement of objectives of the RP against the performance impact indicators. A database for monitoring and evaluation and building upon the Project's own M&E system will be developed for external monitoring. It will optionally include maps, charts, photographs of affected properties, copies of contracts and land titles, payments, and valuation documents relating to resettlement. The specific tasks of the external monitor will be to:

- Build up a system of internal monitoring to assess progress in order to fulfill the targets of the RP: budget and time frame, delivery the APs' entitlements, consultation, grievance and mitigation actions.
- Review of internal monitoring and evaluation reports on a regular basis to reach consensus on actions required to improve resettlement performance and implementation.
- Establish a system of external monitoring and evaluation to access overall achievements of RP implementation.
- Establish monitoring and evaluation reporting methods and reporting requirements.
- Establish a participatory M&E system.
- Include post evaluation of resettlement to be conducted by the independent monitor after completion of the Project.

Monitoring Indicators and Information Needed

Indicators	Information
Basic information on affected households	• Location of the affected households, • HH structure, age, education, skills, occupation and employment patterns, • Access to health, education and other social services, • Land holdings and pattern of uses, • Income sources and levels, • Housing types, • Participation level in social activities, and • Value of all assets forming entitlements and resettlement entitlements.
Restoration of living Standard	• Cost of compensation and entitlements, • Undertaking housing option development, • Reinstatement of opinion of the country, • Replacement of social and cultural elements
Restoration of Livelihoods	• Creation of employment opportunities for the APs, • Sufficient assistance for re-establishment of entrepreneurship development, • Adequate income substitution allowance, • Sufficient transfer and relocation grants, • Availability of replacement land, and • Sufficient compensation and entitlements.
Satisfaction	• Knowledge of RP procedure and entitlements, • Knowledge about the fulfillment of resettlement entitlements, • Assessment of living standard and their livelihoods, and • Knowledge about grievance and mitigation actions.
Effectiveness of RP	• Sufficient time and budget to meet the objectives of the RP, • Support provided to the land speculators, • Level of generosity of the entitlements, • Identification of the vulnerable groups, and • Dealing with unforeseen problems by the PIU.

Methodology and Approach:

The M&E approach will identify and select a set of appropriate indicators and gather information on those to assess the progress of implementation, changes and variations. Participation of stakeholders, especially, the affected persons, women and vulnerable groups will be ensured in the M&E process. The process will also undertake various formal and informal surveys for impact analysis. Assessment of resettlement efficiency, effectiveness, impact and sustainability will be carried out through the M&E process.

Monitoring Tools

Monitoring tools would include both quantitative and qualitative methods as follows:

- **Sample household survey:** a baseline household survey of representative sample (20% of affected households), disaggregated by gender and vulnerability to obtain information on the key indicators of entitlement delivery, efficiency, effectiveness, impact and sustainability will be carried out.

- **Focus Group Discussion (FGD):** Consultation with a range of stakeholder groups (local Government, resettlement field staff, INGOs/Consultancy Firms, community leaders and APs including women and vulnerable groups) will be done.
- **Key informant interviews:** Consultation with individuals like local leaders, village workers or persons with special knowledge or experience about resettlement activities and implementation will be done.
- **Public Consultation meetings:** Public consultation meetings at resettlement sites to elicit information about performance of various resettlement activities will be conducted.
- **Structured direct observations:** Field observations on status of resettlement implementation, plus individual or group interviews for cross checking purposes will be done.
- **Informal surveys/interviews:** Informal surveys of APs, host village, workers, resettlement staff and implementing agency personnel using non-sampled methods will be carried out.
- In the case of special issues, in-depth case studies of APs and host population from various social classes will be undertaken to assess impact of resettlement.

Institutional Arrangements for M&E

Internal monitoring will be carried out by the Resettlement Unit (RU) through their field level offices and implementing NGOs/Consultancy Firms. An independent external monitor will carry out M&E activities of the Project. The Project Supervision Consultant under the RU will oversee and monitor safeguard compliance of the Project. The Project affected persons, their community and local level NGOs/Consultancy Firms will also participate in the M&E process.

Office of the Project Director

The Project Director (PD) will be responsible for overseeing proper and timely implementation of all activities of the RP. The PD will carry out internal monitoring through the Executive Engineer M&E with the help of the implementing NGO/Consultancy Firm. The resettlement unit within the office of the PD will operate and manage implementation of the RP with assistance from an appointed implementing NGO/Consultancy Firm. The RU will establish an M&E Section at the head office headed by an Executive Engineer in charge of Monitoring and Evaluation. The M&E Section will have sufficient staff having appropriate skills and capacity and necessary resources. The Implementing NGO/Consultancy Firm will collect appropriate data from the field and provide feedback to the RU on progress of RP implementation and the day-to-day problems arising out of the process. The implementing NGO/Consultancy Firm will also prepare monthly/quarterly reports on the progress of RP Implementation. The RU of the M&E section will collect information from the Project site and incorporate in the form of monthly/quarterly progress of RP implementation and adjust work program where necessary, in case of delays or problems.

Minimum Qualification Requirements

- Good knowledge of the social issues associated with energy sector projects and construction works
- Good understanding of national, land acquisition, livelihood restoration,
- Conversant with AIIB's Environmental and Social Framework requirements or equivalent requirements of other IFIs
- Demonstrated ability to manage own workload, communicate effectively with others and produce high quality outputs on time
- Proficient in both spoken and written English

Minimum General Experience: 10 Years Minimum Specific Experience (relevant to assignment)

Reporting: Quarterly Report (Submission to PGCB)

Working Days Estimated: 6-man month (Effective from project implementation to loan closure)